

### (3) Matrix Representation.

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Operator  $X$ , ket  $|a\rangle$ , bra  $\langle a|$ ,

.... How can we write them in "numbers"?

(like a wave function, for example.)

$$\Rightarrow \begin{cases} \text{Operator } X \doteq \text{Matrix} \quad (\diagdown) \\ \text{ket } |a\rangle \doteq \text{column vector (matrix)} \quad (|) \\ \text{bra } \langle a| \doteq \text{row vector (matrix)} \quad (—) \end{cases}$$

$$\bullet \text{ Operator } X = I \cdot X \cdot I = \sum_i |i\rangle\langle i| X \sum_j |j\rangle\langle j|$$

$$= \sum_{i,j} |i\rangle\langle i| X |j\rangle\langle j|$$

a matrix

$$X \doteq \begin{matrix} \xrightarrow{\text{col. } j} \\ \begin{pmatrix} \langle 1|X|1\rangle & \langle 1|X|2\rangle & \dots \\ \langle 2|X|1\rangle & \langle 2|X|2\rangle & \dots \\ \vdots & \vdots & \ddots \end{pmatrix} \\ \downarrow \text{row } i \end{matrix}$$

: matrix representation of  $X$  in  $|i\rangle$ -basis.

$$\rightarrow Z = XY \quad \text{: matrix multiplication.}$$

$$\langle i|Z|j\rangle = \langle i|X Y|j\rangle = \sum_k \langle i|X|k\rangle \langle k|Y|j\rangle$$

$$\rightarrow |Y\rangle = X|\alpha\rangle \quad \text{: matrix-vector multiplication}$$

$$\langle i|Y\rangle = \langle i|X|\alpha\rangle$$

$$= \sum_j \langle i|X|j\rangle \langle j|\alpha\rangle$$

$$\begin{matrix} |Y\rangle \\ \text{col. vec.} \end{matrix} \Rightarrow \begin{pmatrix} \langle 1|Y\rangle \\ \langle 2|Y\rangle \\ \vdots \end{pmatrix} = \begin{pmatrix} \diagdown & X_{ij} & \diagup \end{pmatrix} \begin{pmatrix} \langle 1|\alpha\rangle \\ \langle 2|\alpha\rangle \\ \vdots \end{pmatrix}$$

likewise, bra  $\langle \gamma | = \langle \alpha | X$

$$\Rightarrow \langle \gamma | j \rangle = \sum_{\tilde{\alpha}} \langle \alpha | \tilde{\alpha} \rangle \langle \tilde{\alpha} | X | j \rangle$$

$$\stackrel{\text{red}}{=} \langle \gamma |$$

$$\stackrel{\text{red}}{=} \langle \alpha |$$

$$\stackrel{\text{red}}{=} X$$

$$\Rightarrow (\langle \gamma | 1 \rangle, \langle \gamma | 2 \rangle, \dots) = (\langle \alpha | 1 \rangle, \langle \alpha | 2 \rangle, \dots)$$

$$= \langle 1 | \gamma \rangle^*$$

$$= \langle 2 | \gamma \rangle^*$$

$$= \langle 1 | \alpha \rangle^* = \langle 2 | \alpha \rangle^*$$

" row-vector = row-vec. x Matrix "

$$\begin{pmatrix} X_{1j} \\ X_{2j} \\ \vdots \end{pmatrix}$$

$$\rightarrow \text{inner product. } \langle \beta | \alpha \rangle = \sum_{\tilde{\alpha}} \langle \beta | \tilde{\alpha} \rangle \langle \tilde{\alpha} | \alpha \rangle$$

$$= (\langle 1 | \beta \rangle^*, \langle 2 | \beta \rangle^*, \dots) \begin{pmatrix} \langle 1 | \alpha \rangle \\ \langle 2 | \alpha \rangle \\ \vdots \end{pmatrix}$$

as we expect.

$\rightarrow$  outer product,  $|\beta\rangle\langle\alpha|$  : just an operator!

$$|\beta\rangle\langle\alpha| = I \cdot |\beta\rangle\langle\alpha| \cdot I$$

$$= \sum_j |\tilde{\alpha}\rangle \langle \tilde{\alpha} | \beta \rangle \langle \alpha | j \rangle \langle j |$$

Matrix

$$= \begin{pmatrix} \langle 1 | \beta \rangle \langle 1 | \alpha \rangle^* & \langle 1 | \beta \rangle \langle 2 | \alpha \rangle^* & \dots \\ \langle 2 | \beta \rangle \langle 1 | \alpha \rangle^* & \langle 2 | \beta \rangle \langle 2 | \alpha \rangle^* & \dots \\ \vdots & \vdots & \ddots \end{pmatrix}$$

special case

$\rightarrow$  Eigenkets  $\overset{A}{\text{as the base ket.}}$

$$A = \sum_j |\tilde{\alpha}\rangle \langle \tilde{\alpha} | A | j \rangle \langle j |$$

$$\hookrightarrow \langle \tilde{\alpha} | A | j \rangle = a_{\tilde{\alpha}} \delta_{\tilde{\alpha} j}$$

$$\Rightarrow A = \sum_{\tilde{\alpha}} a_{\tilde{\alpha}} |\tilde{\alpha}\rangle \langle \tilde{\alpha}| = \sum_{\tilde{\alpha}} a_{\tilde{\alpha}} \Lambda_{\tilde{\alpha}}$$

\* How to choose the base kets?

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- No general Rule... It's usually done by inspection.
- Eigenkets of a physical observable in the  $H$ -space that you consider.  
↳ "Known"

ex. In continuum,  $\begin{pmatrix} \text{position} \\ \text{momentum} \end{pmatrix}$  operators

"obvious" eigenkets

$|x\rangle, |p\rangle$

def.

wave functions

$$\psi(x) = \langle x | \psi \rangle, \quad \phi(p) = \langle p | \psi \rangle$$

ex. In  $\text{spm} - \frac{1}{2}$  systems.

$|\uparrow\rangle, |\downarrow\rangle$

$\hat{S}_z$  Eigenkets of  $S_z$ .

orthogonality

$$\langle x' | x \rangle = \delta_{x'x} \text{, likewise for } p$$

ex: Atomic lattice (a single-particle regime)

$\hookrightarrow$  atomic basis  $|n\rangle$ . (single orbital)

$\hookrightarrow$  orbital basis (s, p, d, ... : "non-orthogonal")

(4) Example: a  $\text{spm} - \frac{1}{2}$  system.

Eigenstates of  $S_z$ -operator:  $\begin{pmatrix} |S_z = +\rangle \equiv |\uparrow\rangle \\ |S_z = -\rangle \equiv |\downarrow\rangle \end{pmatrix}$

$$\text{Let } |\uparrow\rangle \doteq \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad |\downarrow\rangle \doteq \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

↳ Completeness:  $\mathbb{I} = |\uparrow\rangle\langle\uparrow| + |\downarrow\rangle\langle\downarrow|$

$$= \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

eigenvalues of  $S_z$  operator in the spin- $\frac{1}{2}$  system.

$$S_z |\uparrow\rangle = \frac{\hbar}{2} |\uparrow\rangle, \quad S_z |\downarrow\rangle = -\frac{\hbar}{2} |\downarrow\rangle \quad (\text{SE exp.})$$

$$\Rightarrow S_z = \begin{pmatrix} \frac{\hbar}{2} & 0 \\ 0 & -\frac{\hbar}{2} \end{pmatrix}$$

other operators:  
useful.

$$S_+ = \hbar |\uparrow\rangle\langle\downarrow|, \quad S_- = \hbar |\downarrow\rangle\langle\uparrow|$$

$$= \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

## 1.4 Measurements, observables, and the uncertainty relations

### (1) Measurements (on a "pure" state)

Dirac 1958: "A measurement always causes the system to jump into an eigenstate of the dynamical variable that is being measured."

$\Rightarrow$  measurement is projective.

ex. measuring an observable associated to an op. "A".

$$A = \sum_{\tilde{i}} a_{\tilde{i}} |\tilde{i}\rangle\langle\tilde{i}|, \quad I = \sum_{\tilde{i}} |\tilde{i}\rangle\langle\tilde{i}|$$

• Before the measurement, the system is at  $|\alpha\rangle$ .

$$|\alpha\rangle = \sum_{\tilde{i}} C_{\tilde{i}} |\tilde{i}\rangle = \sum_{\tilde{i}} |\tilde{i}\rangle\langle\tilde{i}|\alpha\rangle$$

• Do the measurement:  $|\alpha\rangle \xrightarrow{\text{with some probability}} |\tilde{i}\rangle$ .

~~tex.~~ (exception) (when the system is at an eigenstate!)  $\& |\tilde{i}\rangle \rightarrow |\tilde{i}\rangle$  (unchanged)

- probability for jumping into a particular  $|\bar{n}\rangle$

$$= |\langle \bar{n} | \alpha \rangle|^2$$

$$\| \sum_{\bar{n}} |\langle \bar{n} | \alpha \rangle|^2 = 1$$

- expectation value of  $A$  (w.r.t.  $|\alpha\rangle$ )

$$\langle A \rangle = \langle \alpha | A | \alpha \rangle$$

( $\doteq$  averaged measured value.)

$$\Rightarrow \langle A \rangle = \sum_{\bar{n}, \bar{j}} \langle \alpha | \bar{n} \rangle \underbrace{\langle \bar{n} | A | \bar{j} \rangle}_{A_{\bar{n}\bar{j}}} \langle \bar{j} | \alpha \rangle$$

$$= \sum_{\bar{n}} a_{\bar{n}} \underbrace{|\langle \bar{n} | \alpha \rangle|^2}_{\text{prob.}} \uparrow \text{measured value}$$

← This is for a "pure" state. & What we're considering mostly in this course.

∴ for repeated measurements, all systems are prepared at the same  $|\alpha\rangle$ .

c.f. "mixed" states (ex. thermal)

- generalization: a density operator.  $\rho$

← We're coming back to this later

pure state:  $\rho = |\Psi\rangle \langle \Psi|$

otherwise, it's a mixed state

↳ expectation value  $\langle A \rangle = \text{Tr}[\rho A]$

ex. pure state  $\rho = |\alpha\rangle \langle \alpha|$

→  $\text{Tr}[|\alpha\rangle \langle \alpha| A] = \langle \alpha | A | \alpha \rangle$

(2) spin- $\frac{1}{2}$  system: a review.

- Write everything in  $\{|\uparrow\rangle, |\downarrow\rangle\}$  basis.

$$\Rightarrow |S_x; \pm\rangle, |S_y; \pm\rangle, S_x, S_y, \vec{S}^2 = S_x^2 + S_y^2 + S_z^2$$

•  $|S_x; \pm\rangle$

Sequential SG exp.



It's the same for  $|S_x; -\rangle$  being chosen.

$$\Rightarrow |\langle \uparrow | S_x; \pm \rangle| = |\langle \downarrow | S_x; \pm \rangle| = \frac{1}{\sqrt{2}}$$

Therefore,

$$|S_x; +\rangle = \frac{1}{\sqrt{2}} |\uparrow\rangle + \frac{1}{\sqrt{2}} e^{i\delta_1} |\downarrow\rangle$$

$$|S_x; -\rangle = \frac{1}{\sqrt{2}} |\uparrow\rangle - \frac{1}{\sqrt{2}} e^{i\delta_1} |\downarrow\rangle$$

$$\hookrightarrow \langle S_x; + | S_x; - \rangle = 0$$

orthogonality condition.

•  $S_x$  operator.

: eigenvalues  $\frac{\hbar}{2}$ ,  $-\frac{\hbar}{2}$  in  $|S_x; +\rangle$ ,  $|S_x; -\rangle$  basis

$$\begin{aligned} S_x &= \frac{\hbar}{2} \left[ (|S_x; +\rangle \langle S_x; +|) - (|S_x; -\rangle \langle S_x; -|) \right] \\ &= \frac{\hbar}{2} \left[ e^{-i\delta_1} |\uparrow\rangle \langle \downarrow| + e^{i\delta_1} |\downarrow\rangle \langle \uparrow| \right] \end{aligned}$$

• likewise for  $S_y$

$$|S_y; \pm\rangle = \frac{1}{\sqrt{2}} |\uparrow\rangle \pm \frac{1}{\sqrt{2}} e^{i\delta_2} |\downarrow\rangle$$

$$S_y = \frac{\hbar}{2} \left[ e^{-i\delta_2} |\uparrow\rangle \langle \downarrow| + e^{i\delta_2} |\downarrow\rangle \langle \uparrow| \right]$$

- How to determine  $\delta_1, \delta_2$ ?

Rotate the  $\hat{S}_z$  axis.

$$\Rightarrow |\langle S_y; \pm | S_x; + \rangle| = |\langle S_y; \pm | S_x; - \rangle| = \frac{1}{\sqrt{2}}$$

$$\Rightarrow \frac{1}{2} |1 \pm e^{i(\delta_1 - \delta_2)}| = \frac{1}{\sqrt{2}}$$

$$\therefore \delta_1 - \delta_2 = \frac{\pi}{2} \text{ or } -\frac{\pi}{2}$$

Let's just choose  $\delta_1$  to make all <sup>matrix</sup> elements of  $S_2$  to be REAL.

$$\Rightarrow \delta_1 = 0, \delta_2 = \frac{\pi}{2}$$

$\pm \frac{\pi}{2}$  is possible, but  $\frac{\pi}{2}$  is correct

for Right-handed system.

(What for ch. 3).

$$\downarrow |\langle S_x; \pm \rangle = \frac{1}{\sqrt{2}} |\uparrow\rangle \pm \frac{1}{\sqrt{2}} |\downarrow\rangle$$

$$|\langle S_y; \pm \rangle = \frac{1}{\sqrt{2}} |\uparrow\rangle \pm \frac{i}{\sqrt{2}} |\downarrow\rangle$$

$$S_x = \frac{\hbar}{2} [|\uparrow\rangle\langle\downarrow| + |\downarrow\rangle\langle\uparrow|]$$

$$\rightarrow \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$S_y = \frac{\hbar}{2} [-i|\uparrow\rangle\langle\downarrow| + i|\downarrow\rangle\langle\uparrow|] \rightarrow \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

- $S_{\pm}$  operators :  $S_{\pm} = S_x \pm i S_y$ . (raising/lowering operators)

- Commutation, anti-commutation relation.

$$[S_i, S_j] = i \epsilon_{ijk} \hbar S_k, \quad \{S_i, S_j\} = \frac{1}{2} \hbar^2 \delta_{ij}$$

$$* [A, B] = AB - BA, \quad \{A, B\} = AB + BA$$

$$\epsilon_{ijk} = \begin{cases} +1 & (ijk = (123) \text{ and any permutation}) \\ -1 & (ijk = (213) \text{ and any permutation}) \\ 0 & (\text{overlap of indices}) \end{cases}$$

Leibniz rule.

$$\cdot \vec{S}^2 = S_x^2 + S_y^2 + S_z^2 = \left(\frac{3}{4}\right) \hbar^2 \cdot \mathbb{I} \quad \& \text{ just compute!} \quad 16$$

$$\Rightarrow [\vec{S}^2, S_z] = 0 \quad \uparrow \text{ only for spin } -\frac{1}{2}.$$

$\uparrow$  holds for any spin- $S$ .

### (3) Compatible Observables

def.  
 $\left\{ \begin{array}{l} \text{Compatible : commuting! } [A, B] = 0, \quad (\text{ex. } [\vec{S}^2, S_z]) \\ \text{Incompatible : non-commuting! } [A, B] \neq 0, \quad (\text{ex. } [S_x, S_z]) \end{array} \right.$

Let's start with Theorem:

If  $[A, B] = 0$ , the eigenvalues of  $A$  are nondegenerate,  
 $\parallel$  eigenvectors  $|a\rangle \leftrightarrow a$  (eigenval.)

$$\Rightarrow \langle a'' | B | a' \rangle = \text{"diagonal"} \\ \propto \delta_{a'a''}$$

proof.

$$\langle a'' | [A, B] | a' \rangle = (a'' - a') \langle a'' | B | a' \rangle = 0.$$

$$\Rightarrow \langle a'' | B | a' \rangle = 0 \quad \text{unless } a' = a'',$$

★ Eigenvectors diagonalizing  $A$

diagonalizes  $B$  as well if  $[A, B] = 0$ .

↗ This is also valid even if it has degeneracy! (eigenvals)  $\nwarrow A \text{ or } B$

$$\Rightarrow [A, B] \Rightarrow \text{There is a set of}$$

"Simultaneous" eigenvectors of  $A, B$



• Notation

$$A |a', b'\rangle = a' |a', b'\rangle$$

$$B |a', b'\rangle = b' |a', b'\rangle$$

$b'$  is not relevant  
 $a'$  is not relevant.

← This is an overkill if there is no degeneracy.

But, it's extremely useful when there is degeneracy.

ex.  $[L^2, L_z] = 0$

$L \rightarrow \hbar l(l+1)$  for  $l, m = -l, \dots, l$ .

$|K\rangle \equiv |l, m\rangle$ . ~~specifies~~ all orbital angular-momentum states.

Thus, it is very important to ~~know~~ find. (well, if one can find)

"a maximal set" of commuting observable. ...

$[A, B] = [B, C] = [A, C] = \dots = 0$

$\Rightarrow |K'\rangle = |a', b', c', \dots\rangle$

best characterizes the system!

of course, it satisfies orthonormality  
completeness.

$\langle K'' | K' \rangle = \delta_{a'' a'} \delta_{b'' b'} \dots$

$\left[ \sum_{K'} |K'\rangle \langle K'| = \sum_{a', b', c', \dots} |a', b', c', \dots\rangle \langle a', b', c', \dots| = 1 \right]$